



Docket No. CF/027

26 3/12/03
PH
#2 1/2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Andrew C. Gilbert
Application No. : 09/995,698 Confirmation No.: 2615
Filed : November 29, 2001
For : SYSTEMS AND METHODS FOR LINKING
BIDS AND OFFERS IN A TRADING INTERFACE
Group Art Unit : 2161
Examiner : Not yet known

RECEIVED

FEB 13 2002

New York, New York
February 4, 2002 Technology Center 2600

Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER FOR
INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure
Statement in the above-identified application. This Statement is
submitted:

- ☒ within three months of the application filing date;
☐ more than three months from the application filing
date but before the mailing date of the first
Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



Jared Kneitel
Registration No. P-51,178
Agent for Applicant
FISH & NEAVE
1251 Avenue of the Americas
New York, New York 10020-1104
Tel.: (212) 596-9000

I Heroby Certify that this
Correspondence is being
Deposited with the U.S.
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Addressed to: ASSISTANT
COMMISSIONER FOR
PROCESSES P.O. Box 2327
AND (NATION) via 2327 on

February 4, 2002
C. J. S. S. S. S. S.

Signature of Person Signing



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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following documents:

U.S. Patents

4,674,044	Kalmus et al.	6/16/87
4,903,201	Wagner	2/20/90
5,077,665	Silverman et al.	12/31/91
5,136,501	Silverman et al.	8/4/92
5,243,515	Lee	9/7/93
5,715,402	Popolo	2/3/98
5,727,165	Ordish et al.	3/10/98
5,905,975	Ausubel	5/18/99
5,924,083	Silverman et al.	7/13/99
6,131,087	Luke et al.	10/10/00
6,272,474	Garcia	8/7/01


Foreign Patents

EP 0 987 644	EPO	3/22/00
WO 00/21013	PCT	4/13/00
WO 00/28450	PCT	5/18/00
WO 00/58862	PCT	10/5/00

These document are listed on the accompanying Form
PTO-1449 (submitted in duplicate) and copies are enclosed
herewith.

Consideration of the foregoing in relation to this
patent application is respectfully requested.

Respectfully submitted,



Jared Kneitel
Registration No. P-51,178
Agent for Applicant
FISH & NEAVE
1251 Avenue of the Americas
New York, New York 10020-1104
Tel.: (212) 596-9000

I Hereby Certify that this
Correspondence is being
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COMMISSIONER FOR
PATENTS
WASHINGTON, DC 20590-0001
on
February 4, 2002
Clair J. Sainelli
Signature of Person Signing

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEDOCKET NO.
CF/027APPLICATION NO.
09/995,698INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
Andrew C. GilbertCONFIRMATION NO.
2615FILING DATE
November 29, 2001GROUP
2161

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,674,044	6/16/87	Kalmus et al.	705	37	
	4,903,201	2/20/90	Wagner	705	37	
	5,077,665	12/31/91	Silverman et al.	705	37	
	5,136,501	8/4/92	Silverman et al.	705	37	
	5,243,515	9/7/93	Lee	705	37	
	5,715,402	2/3/98	Popolo	705	37	
	5,727,165	3/10/98	Ordish et al.	705	37	
	5,905,975	5/18/99	Ausubel	705	37	
	5,924,083	7/13/99	Silverman et al.	705	37	
	6,131,087	10/10/00	Luke et al.	705	26	
	6,272,474	8/7/01	Garcia	705	37	

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	EP 0 987 644	3/22/00	EPO	G06F	17/60		
	WO 00/21013	4/13/00	PCT	G06F	17/60		
	WO 00/28450	5/18/00	PCT	G06F	17/60		
	WO 00/58862	10/5/00	PCT	G06F	17/60		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.